Packing Instruction 965

Cargo aircraft only for UN 3480

1. **Introduction**

This entry applies to lithium ion or lithium polymer batteries. This packing instruction is structured as follows:

— Section IA applies to lithium ion cells with a Watt-hour rating in excess of 20 Wh and lithium ion batteries with a Watt-hour rating in excess of 100 Wh, which must be assigned to Class 9 and are subject to all of the applicable requirements of these Instructions;
— Section IB applies to lithium ion cells with a Watt-hour rating not exceeding 20 Wh and lithium ion batteries with a Watt-hour rating not exceeding 100 Wh packed in quantities that exceed the allowance permitted in Section II, Table 965-II; and
— Section II applies to lithium ion cells with a Watt-hour rating not exceeding 20 Wh and lithium ion batteries with a Watt-hour rating not exceeding 100 Wh packed in quantities not exceeding the allowance permitted in Section II, Table 965-II.

+ A single cell battery as defined in Part III, sub-section 38.3.2.3 of the UN *Manual of Tests and Criteria* is considered a “cell” and must be transported according to the requirements for “cells” for the purpose of this packing instruction.

2. **Lithium batteries forbidden from transport**

The following applies to all lithium ion cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

Waste lithium batteries and lithium batteries being shipped for recycling or disposal are forbidden from air transport unless approved by the appropriate national authority of the State of Origin and the State of the Operator.

IA. **SECTION IA**

Each cell or battery must meet all the provisions of 2;9.3.

IA.1 **General requirements**

— Part 4;1 requirements must be met.
— Lithium ion cells and batteries must be offered for transport at a state of charge not exceeding 30 per cent of their rated capacity. Cells and/or batteries at a state of charge greater than 30 per cent of their rated capacity may only be shipped with the approval of the State of Origin and the State of the Operator under the written conditions established by those authorities.

Note.— Guidance and methodology for determining the rated capacity can be found in sub-section 38.3.2.3 of the UN Manual of Tests and Criteria*.*

Table 965-IA

UN number and proper shipping name	*Net quantity per package*	
	Passenger	*Cargo*
UN 3480 **Lithium ion batteries**	Forbidden	35 kg

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IA.2 **Additional requirements**

— Lithium ion cells and batteries must be protected against short circuits.
— Lithium ion cells and batteries must be placed in inner packagings that completely enclose the cell or battery then placed in an outer packaging. The completed package for the cells or batteries must meet the Packing Group II performance requirements.
— Lithium ion batteries with a mass of 12 kg or greater and having a strong, impact-resistant outer casing, or assemblies of such batteries, may be transported when packed in strong outer packagings or protective enclosures (e.g. in fully enclosed or wooden slatted crates) not subject to the requirements of Part 6 of these Instructions, if approved by the appropriate authority of the State of Origin. A copy of the document of approval must accompany the consignment.
— Batteries manufactured after 31 December 2011 must be marked with the Watt-hour rating on the outside case.

IA.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
Aluminium (4B)	Aluminium (1B2)	Aluminium (3B2)
Fibreboard (4G)	Fibre (1G)	Plastics (3H2)
Natural wood (4C1, 4C2)	Other metal (1N2)	Steel (3A2)
Other metal (4N)	Plastics (1H2)	
Plastics (4H1, 4H2)	Plywood (1D)	
Plywood (4D)	Steel (1A2)	
Reconstituted wood (4F)		
Steel (4A)		

IB. **SECTION IB**

Quantities of lithium ion cells or batteries that exceed the allowance permitted in Section II, Table 965-II are subject to all of the applicable provisions of these Instructions (including the requirements in paragraph 2 of this packing instruction and of this section) except for the provisions of Part 6.

Lithium ion cells or batteries shipped in accordance with the provisions of Section IB must be described on a dangerous goods transport document as set in Part 5;4. The packing instruction number “965” required by 5;4.1.5.8.1 a) must be supplemented with “IB”. All other applicable provisions of Part 5;4 apply.

Lithium ion cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and the following:

1) for lithium ion cells, the Watt-hour rating (see the Glossary of Terms in Attachment 2) is not more than 20 Wh;

2) for lithium ion batteries, the Watt-hour rating is not more than 100 Wh;
— the Watt-hour rating must be marked on the outside of the battery case except for those batteries manufactured before 1 January 2009;

IB.1 **General requirements**

— Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).
— Lithium ion cells and batteries must be offered for transport at a state of charge not exceeding 30 per cent of their rated capacity. Cells and/or batteries at a state of charge greater than 30 per cent of their rated capacity may only be shipped with the approval of the State of Origin and the State of the Operator under the written conditions established by those authorities.

Note.— Guidance and methodology for determining the rated capacity can be found in sub-section 38.3.2.3 of the UN Manual of Tests and Criteria.

Table 965-IB

Contents	*Net quantity per package*	
	Passenger	*Cargo*
Lithium ion cells and batteries	Forbidden	10 kg

Packing Instruction 965

IB.2 **Additional requirements**

≠ — Cells and batteries must be packed in inner packagings that completely enclose the cell or battery then placed in a strong rigid outer packaging.
— Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact with conductive materials within the same packaging that could lead to a short circuit.
— Each package must be capable of withstanding a 1.2 m drop test in any orientation without:
  — damage to cells or batteries contained therein;
  — shifting of the contents so as to allow battery to battery (or cell to cell) contact;
  — release of contents.
≠ — Each package must be marked with the appropriate lithium battery mark (Figure 5-3) in addition to the appropriate Class 9 hazard label (Figure 5-26) and the cargo aircraft only label (Figure 5-28).
+ *Note.— The provisions for a lithium battery handling label as contained in the 2015-2016 Edition of these Instructions (Part 5;3.5.2 and Figure 5-32 of the 2015-2016 Edition) may continue to be used in lieu of the lithium battery mark until 31 December 2018.*
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IB.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
Aluminium	Aluminium	Aluminium
Fibreboard	Fibre	Plastics
Natural wood	Other metal	Steel
Other metal	Plastics	
Plastics	Plywood	
Plywood	Steel	
Reconstituted wood		
Steel		

≠ >

II. **SECTION II**

≠ Lithium ion cells and batteries, when complying with Section II of this packing instruction, are only subject to the following additional provisions of these Instructions:

— Part 1;2.3 (General — Transport of dangerous goods by post);
— Part 5;1.1 g) and j) (Shipper's responsibilities — General requirements);
+ — Part 7;2.1 (Operator's responsibilities — Loading restrictions on the flight deck and for passenger aircraft);
+ — Part 7;2.4.1 (Operator's responsibilities — Loading of cargo aircraft);
— Part 7;4.4 (Operator's responsibilities — Reporting of dangerous goods accidents and incidents);
— Part 8;1.1 (Provisions concerning passengers and crew — Dangerous goods carried by passengers or crew); and
— Paragraphs 1 and 2 of this packing instruction.

Lithium ion cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and the following:

1) for lithium ion cells, the Watt-hour rating (see the Glossary of Terms in Attachment 2) is not more than 20 Wh;
2) for lithium ion batteries, the Watt-hour rating is not more than 100 Wh;
   — the Watt-hour rating must be marked on the outside of the battery case except for those batteries manufactured before 1 January 2009.

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II.1 **General requirements**

— Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

+ — Lithium ion cells and batteries must be offered for transport at a state of charge not exceeding 30 per cent of their rated capacity.

+ *Note.— Guidance and methodology for determining the rated capacity can be found in sub-section 38.3.2.3 of the UN* Manual of Tests and Criteria.

Table 965-II

Contents	*Lithium ion cells and/or batteries with a Watt-hour rating not more than 2.7 Wh*	*Lithium ion cells with a Watt-hour rating more than 2.7 Wh, but not more than 20 Wh*	*Lithium ion batteries with a Watt-hour rating more than 2.7 Wh, but not more than 100 Wh*
1	*2*	*3*	*4*
Maximum number of cells / batteries per package	No limit	8 cells	2 batteries
Maximum net quantity (mass) per package	2.5 kg	n/a	n/a

The limits specified in columns 2, 3 and 4 of Table 965-II must not be combined in the same package.

II.2 **Additional requirements**

≠ — Cells and batteries must be packed in inner packagings that completely enclose the cell or battery then placed in a strong rigid outer packaging.

— Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact with conductive materials within the same packaging that could lead to a short circuit.

— Each package must be capable of withstanding a 1.2 m drop test in any orientation without:
  — damage to cells or batteries contained therein;
  — shifting of the contents so as to allow battery to battery (or cell to cell) contact;
  — release of contents.

≠ — Each package must be marked with the appropriate lithium battery mark (Figure 5-3) and the cargo aircraft only label (Figure 5-28).

+ — the package must be of such size that there is adequate space to affix the mark on one side without the mark being folded.

≠ — the cargo aircraft only label must be located on the same surface of the package near the lithium battery mark, if the package dimensions are adequate.

+ *Note.— The provisions for a lithium battery handling label as contained in the 2015-2016 Edition of these Instructions (Part 5;3.5.2 and Figure 5-32 of the 2015-2016 Edition) may continue to be used in lieu of the lithium battery mark until 31 December 2018.*

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+ — A shipper is not permitted to offer for transport more than one package prepared according to this section in any single consignment.

— The words “lithium ion batteries, in compliance with Section II of PI965” — cargo aircraft only” or “lithium ion batteries, in compliance with Section II of PI965 — CAO” must be placed on the air waybill, when an air waybill is used.

+ — Packages and overpacks of lithium ion batteries prepared in accordance with the provisions of Section II must be offered to the operator separately from cargo which is not subject to these Instructions and must not be loaded into a unit load device before being offered to the operator.

— Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

Packing Instruction 965

II.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
Aluminium	Aluminium	Aluminium
Fibreboard	Fibre	Plastics
Natural wood	Other metal	Steel
Other metal	Plastics	
Plastics	Plywood	
Plywood	Steel	
Reconstituted wood		
Steel		

II.4 **Overpacks**

Not more than one package prepared in accordance with this section may be placed into an overpack.

≠ When the package is placed in an overpack, the lithium battery mark (Figure 5-3) and the cargo aircraft only label (Figure 5-28) required by this packing instruction must either be clearly visible or the mark and label must be affixed on the outside of the overpack and the overpack must be marked with the word “Overpack”.

Note.— For the purpose of Section II, an overpack is an enclosure used by a single shipper that contains no more than one package prepared in accordance with this section. For shipments prepared in accordance with Section IA and/or IB, this limit of one package of Section II batteries per overpack still applies.

Packing Instruction 966

Passenger and cargo aircraft for UN 3481 (packed with equipment) only

1. **Introduction**

This entry applies to lithium ion or lithium polymer batteries packed with equipment.

Section I of this packing instruction applies to lithium ion and lithium polymer cells and batteries that are assigned to Class 9. Certain lithium ion and lithium polymer cells and batteries offered for transport and meeting the requirements of Section II of this packing instruction, subject to paragraph 2 below, are not subject to other additional requirements of these Instructions.

+ A single cell battery as defined in Part III, sub-section 38.3.2.3 of the UN *Manual of Tests and Criteria* is considered a “cell” and must be transported according to the requirements for “cells” for the purpose of this packing instruction.

+ For the purpose of this packing instruction, “equipment” means apparatus for which the lithium cells or batteries will provide electrical power for its operation.

2. **Lithium batteries forbidden from transport**

The following applies to all lithium ion cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

I. **SECTION I**

Each cell or battery must meet all the provisions of 2;9.3.

I.1 **General requirements**

Part 4;1 requirements must be met.

	Package quantity (Section I)	
UN number and proper shipping name	*Passenger*	*Cargo*
UN 3481 **Lithium ion batteries packed with equipment**	5 kg of lithium ion cells or batteries	35 kg of lithium ion cells or batteries

Packing Instruction 966

I.2 **Additional requirements**

— Lithium ion cells and batteries must be protected against short circuits.
— Lithium ion cells or batteries must:
  — be placed in inner packagings that completely enclose the cell or battery then placed in an outer packaging. The completed package for the cells or batteries must meet the Packing Group II performance requirements; or
  — be placed in inner packagings that completely enclose the cell or battery, then placed with equipment in a packaging that meets the Packing Group II performance requirements.
— The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
— The number of cells or batteries in each package must not exceed the appropriate number for the equipment's operation, plus two spares.

> — Batteries manufactured after 31 December 2011 must be marked with the Watt-hour rating on the outside case.

I.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
Aluminium (4B)	Aluminium (1B2)	Aluminium (3B2)
Fibreboard (4G)	Fibre (1G)	Plastics (3H2)
Natural wood (4C1, 4C2)	Other metal (1N2)	Steel (3A2)
Other metal (4N)	Plastics (1H2)	
Plastics (4H1, 4H2)	Plywood (1D)	
Plywood (4D)	Steel (1A2)	
Reconstituted wood (4F)		
Steel (4A)		

II. **SECTION II**

\+ Lithium ion cells and batteries packed with equipment, when complying with Section II of this packing instruction, are only subject to the following additional provisions of these Instructions:

— Part 1;2.3 (General — Transport of dangerous goods by post);
— Part 7;4.4 (Operator's responsibilities — Reporting of dangerous goods accidents and incidents);
— Part 8;1.1 (Provisions concerning passengers and crew — Dangerous goods carried by passengers or crew); and
— Paragraphs 1 and 2 of this packing instruction.

Lithium ion cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and the following:

1) for lithium ion cells, the Watt-hour rating (see the Glossary of Terms in Attachment 2) is not more than 20 Wh;

2) for lithium ion batteries, the Watt-hour rating is not more than 100 Wh;
≠ — the Watt-hour rating must be marked on the outside case except for those batteries manufactured before 1 January 2009.

II.1 **General requirements**

Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

Contents	*Package quantity (Section II)*	
	Passenger	*Cargo*
Net quantity of lithium ion cells or batteries per package	5 kg	5 kg

Packing Instruction 966

II.2 **Additional requirements**

— Lithium ion cells and batteries must:
≠ — be placed in inner packagings that completely enclose the cell or battery, then placed in a strong rigid outer packaging; or
≠ — be placed in inner packagings that completely enclose the cell or battery, then placed with the equipment in a strong rigid outer packaging.
— Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact with conductive materials within the same packaging that could lead to a short circuit.
— The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
— The number of cells or batteries in each package must not exceed the appropriate number for the equipment's operation, plus two spares.
— Each package of cells or batteries, or the completed package, must be capable of withstanding a 1.2 m drop test in any orientation without:
— damage to cells or batteries contained therein;
— shifting of the contents so as to allow battery to battery (or cell to cell) contact;
— release of contents.
≠ — Each package must be marked with the appropriate lithium battery mark (Figure 5-3).
+ — the package must be of such size that there is adequate space to affix the mark on one side without the mark being folded.
+ *Note.— The provisions for a lithium battery handling label as contained in the 2015-2016 Edition of these Instructions (Part 5;3.5.2 and Figure 5-32 of the 2015-2016 Edition) may continue to be used in lieu of the lithium battery mark until 31 December 2018.*
>
— The words "lithium ion batteries, in compliance with Section II of PI966" must be placed on the air waybill, when an air waybill is used.
+ — Where a package contains a combination of lithium batteries contained in equipment and lithium batteries packed with equipment that meet the limits for lithium cells or batteries of Section II, the following additional requirements apply:
— the shipper must ensure that all applicable parts of both packing instructions are met. The total mass of lithium batteries contained in any package must not exceed 5 kg;
— the words "lithium ion batteries, in compliance with Section II of PI966" must be placed on the air waybill, when an air waybill is used.
— Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

II.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
Aluminium	Aluminium	Aluminium
Fibreboard	Fibre	Plastics
Natural wood	Other metal	Steel
Other metal	Plastics	
Plastics	Plywood	
Plywood	Steel	
Reconstituted wood		
Steel		

II.4 **Overpacks**

≠ When packages are placed in an overpack, the lithium battery mark (Figure 5-3) required by this packing instruction must either be clearly visible or the mark must be affixed on the outside of the overpack and the overpack must be marked with the word "Overpack".

Packing Instruction 967

Passenger and cargo aircraft for UN 3481 (contained in equipment) only

1. **Introduction**

This entry applies to lithium ion or lithium polymer batteries contained in equipment.

Section I of this packing instruction applies to lithium ion and lithium polymer cells and batteries that are assigned to Class 9. Certain lithium ion and lithium polymer cells and batteries offered for transport and meeting the requirements of Section II of this packing instruction, subject to paragraph 2 below, are not subject to other additional requirements of these Instructions.

+ A single cell battery as defined in Part III, sub-section 38.3.2.3 of the UN *Manual of Tests and Criteria* is considered a "cell" and must be transported according to the requirements for "cells" for the purpose of this packing instruction.

+ For the purpose of this packing instruction, "equipment" means apparatus for which the lithium cells or batteries will provide electrical power for its operation.

2. **Lithium batteries forbidden from transport**

The following applies to all lithium ion cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

I. SECTION I

Each cell or battery must meet all the provisions of 2;9.3.

I.1 General requirements

Equipment must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

	Package quantity (Section I)	
UN number and proper shipping name	*Passenger*	*Cargo*
UN 3481 **Lithium ion batteries contained in equipment**	5 kg of lithium ion cells or batteries	35 kg of lithium ion cells or batteries

I.2 Additional requirements

- The equipment must be secured against movement within the outer packaging and be packed so as to prevent accidental operation during air transport.
- The equipment must be packed in strong outer packagings constructed of suitable material of adequate strength and design in relation to the packaging's capacity and its intended use unless the battery is afforded equivalent protection by the equipment in which it is contained.
- Batteries manufactured after 31 December 2011 must be marked with the Watt-hour rating on the outside case.

I.3 Outer packagings

Boxes *Drums* *Jerricans*

Strong outer packagings

Packing Instruction 967

II. **SECTION II**

≠ Lithium ion cells and batteries contained in equipment, when complying with Section II of this packing instruction, are only subject to the following additional provisions of these Instructions:

— Part 1;2.3 (General — Transport of dangerous goods by post);
— Part 7;4.4 (Operator's responsibilities — Reporting of dangerous goods accidents and incidents);
— Part 8;1.1 (Provisions concerning passengers and crew — Dangerous goods carried by passengers or crew); and
— Paragraphs 1 and 2 of this packing instruction.

Lithium ion cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and the following:

1) for lithium ion cells, the Watt-hour rating (see the Glossary of Terms in Attachment 2) is not more than 20 Wh;

2) for lithium ion batteries, the Watt-hour rating is not more than 100 Wh;
   — the Watt-hour rating must be marked on the outside of the battery case except for those batteries manufactured before 1 January 2009.

Devices such as radio frequency identification (RFID) tags, watches and temperature loggers, which are not capable of generating a dangerous evolution of heat, may be transported when intentionally active. When active, these devices must meet defined standards for electromagnetic radiation to ensure that the operation of the device does not interfere with aircraft systems. The devices must not be capable of emitting disturbing signals (such as buzzing alarms, strobe lights, etc.) during transport.

II.1 **General requirements**

Equipment must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

Contents	*Package quantity (Section II)*	
	Passenger	*Cargo*
Net quantity of lithium ion cells or batteries per package	5 kg	5 kg

II.2 **Additional requirements**

— The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
— Cells and batteries must be protected so as to prevent short circuits.
≠ — The equipment must be packed in strong rigid outer packagings constructed of suitable material of adequate strength and design in relation to the packaging's capacity and its intended use unless the battery is afforded equivalent protection by the equipment in which it is contained.
≠ — Each package must be marked with the appropriate lithium battery mark (Figure 5-3). The package must be of such size that there is adequate space to affix the mark on one side without the mark being folded.
   — This requirement does not apply to:
      — packages containing only button cell batteries installed in equipment (including circuit boards); and
      — packages containing no more than four cells or two batteries installed in equipment, where there are not more than two packages in the consignment.

+ *Note.— The provisions for a lithium battery handling label as contained in the 2015-2016 Edition of these Instructions (Part 5;3.5.2 and Figure 5-32 of the 2015-2016 Edition) may continue to be used in lieu of the lithium battery mark until 31 December 2018.*

≠ — Where a consignment includes packages bearing the lithium battery mark, the words "lithium ion batteries, in compliance with Section II of PI967" must be placed on the air waybill, when an air waybill is used.
— Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

Packing Instruction 967

II.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
≠ Aluminium	Aluminium	Aluminium
Fibreboard	Fibre	Plastics
Natural wood	Other metal	Steel
Other metal	Plastics	
Plastics	Plywood	
Plywood	Steel	
Reconstituted wood		
Steel		

II.4 **Overpacks**

≠ When packages are placed in an overpack, the lithium battery mark (Figure 5-3) required by this packing instruction must either be clearly visible or the mark must be affixed on the outside of the overpack and the overpack must be marked with the word “Overpack”.

Packing Instruction 968

Cargo aircraft only for UN 3090

1. **Introduction**

This entry applies to lithium metal or lithium alloy batteries. This packing instruction is structured as follows:

— Section IA applies to lithium metal cells with a lithium metal content in excess of 1 g and lithium metal batteries with a lithium metal content in excess of 2 g, which must be assigned to Class 9 and are subject to all of the applicable requirements of these Instructions;
— Section IB applies to lithium metal cells with a lithium metal content not exceeding 1 g and lithium metal batteries with a lithium metal content not exceeding 2 g packed in quantities that exceed the allowance permitted in Section II, Table 968-II; and
— Section II applies to lithium metal cells with a lithium metal content not exceeding 1 g and lithium metal batteries with a lithium metal content not exceeding 2 g packed in quantities not exceeding the allowance permitted in Section II, Table 968-II.

+ A single cell battery as defined in Part III, sub-section 38.3.2.3 of the UN *Manual of Tests and Criteria* is considered a “cell” and must be transported according to the requirements for “cells” for the purpose of this packing instruction.

2. **Lithium batteries forbidden from transport**

The following applies to all lithium metal cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

Waste lithium batteries and lithium batteries being shipped for recycling or disposal are forbidden from air transport unless approved by the appropriate national authority of the State of Origin and the State of the Operator.

IA. **SECTION IA**

Each cell or battery must meet all the provisions of 2;9.3.

IA.1 **General requirements**

Part 4;1 requirements must be met.

Table 968-IA

UN number and proper shipping name	*Net quantity per package*	
	Passenger	*Cargo*
UN 3090 **Lithium metal batteries**	Forbidden	35 kg

IA.2 **Additional requirements**

— Lithium metal cells and batteries must be protected against short circuits.
— Lithium metal cells and batteries must be placed in inner packagings that completely enclose the cell or battery, then placed in an outer packaging. The completed package for the cells or batteries must meet the Packing Group II performance requirements.
— Lithium metal batteries with a mass of 12 kg or greater and having a strong, impact-resistant outer casing, or assemblies of such batteries, may be transported when packed in strong outer packagings or protective enclosures (e.g. in fully enclosed or wooden slatted crates) not subject to the requirements of Part 6 of these Instructions, if approved by the appropriate authority of the State of Origin. A copy of the document of approval must accompany the consignment.

Packing Instruction 968

IA.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
Aluminium (4B)	Aluminium (1B2)	Aluminium (3B2)
Fibreboard (4G)	Fibre (1G)	Plastics (3H2)
Natural wood (4C1, 4C2)	Other metal (1N2)	Steel (3A2)
Other metal (4N)	Plastics (1H2)	
Plastics (4H1, 4H2)	Plywood (1D)	
Plywood (4D)	Steel (1A2)	
Reconstituted wood (4F)		
Steel (4A)		

IB. **SECTION IB**

Quantities of lithium metal cells or batteries that exceed the allowance permitted in Section II, Table 968-II, are subject to all of the applicable provisions of these Instructions (including the requirements in paragraph 2 of this packing instruction and of this section) except for the provisions of Part 6.

Lithium metal cells or batteries shipped in accordance with the provisions of Section IB must be described on a dangerous goods transport document as set in Part 5;4. The packing instruction number “968” required by 5;4.1.5.8.1 a) must be supplemented with “IB”. All other applicable provisions of Part 5;4 apply.

Lithium metal or lithium alloy cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and the following:

1) for lithium metal cells, the lithium content is not more than 1 g;
2) for lithium metal or lithium alloy batteries, the aggregate lithium content is not more than 2 g.

IB.1 **General requirements**

Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

Table 968-IB

Contents	*Net quantity per package*	
	Passenger	*Cargo*
Lithium metal cells and batteries	Forbidden	2.5 kg

IB.2 **Additional requirements**

≠ — Cells and batteries must be packed in inner packagings that completely enclose the cell or battery then placed in a strong rigid outer packaging.
— Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact with conductive materials within the same packaging that could lead to a short circuit.
— Each package must be capable of withstanding a 1.2 m drop test in any orientation without:
  — damage to cells or batteries contained therein;
  — shifting of the contents so as to allow battery to battery (or cell to cell) contact;
  — release of contents.
≠ — Each package must be marked with the appropriate lithium battery mark (Figure 5-3) in addition to the appropriate Class 9 hazard label (Figure 5-26) and the cargo aircraft only label (Figure 5-28).
+ *Note.— The provisions for a lithium battery handling label as contained in the 2015-2016 Edition of these Instructions (Part 5;3.5.2 and Figure 5-32 of the 2015-2016 Edition) may continue to be used in lieu of the lithium battery mark until 31 December 2018.*
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Packing Instruction 968

IB.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
Aluminium	Aluminium	Aluminium
Fibreboard	Fibre	Plastics
Natural wood	Other metal	Steel
Other metal	Plastics	
Plastics	Plywood	
Plywood	Steel	
Reconstituted wood		
Steel		

II. **SECTION II**

≠ Lithium metal or lithium alloy cells and batteries, when complying with Section II of this packing instruction, are only subject to the following additional provisions of these Instructions:

— Part 1;2.3 (General — Transport of dangerous goods by post);
— Part 5;1.1 g) and j) (Shipper's responsibilities — General requirements);
— Part 7;2.1 (Operator's responsibilities — Loading restrictions on the flight deck and for passenger aircraft);
— Part 7;2.4.1 (Operator's responsibilities — Loading of cargo aircraft);
— Part 7;4.4 (Operator's responsibilities — Reporting of dangerous goods accidents and incidents);
— Part 8;1.1 (Provisions concerning passengers and crew — Dangerous goods carried by passengers or crew); and
— Paragraphs 1 and 2 of this packing instruction.

Lithium metal or lithium alloy cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and the following:

1) for a lithium metal cell, the lithium content is not more than 1 g;
2) for a lithium metal or lithium alloy battery, the aggregate lithium content is not more than 2 g.

II.1 **General requirements**

Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

Table 968-II

Contents	*Lithium metal cells and/or batteries with a lithium content not more than 0.3 g*	*Lithium metal cells with a lithium content more than 0.3 g but not more than 1 g*	*Lithium metal batteries with a lithium content more than 0.3 g but not more than 2 g*
1	*2*	*3*	*4*
Maximum number of cells / batteries per package	No limit	8 cells	2 batteries
Maximum net quantity (mass) per package	2.5 kg	n/a	n/a

The limits specified in columns 2, 3 and 4 of Table 968-II must not be combined in the same package.

Packing Instruction 968

II.2 **Additional requirements**

≠ — Cells and batteries must be packed in inner packagings that completely enclose the cell or battery, then placed in a strong rigid outer packaging.
— Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact with conductive materials within the same packaging that could lead to a short circuit.
— Each package must be capable of withstanding a 1.2 m drop test in any orientation without:
  — damage to cells or batteries contained therein;
  — shifting of the contents so as to allow battery to battery (or cell to cell) contact;
  — release of contents.
≠ — Each package must be marked with the appropriate lithium battery mark (Figure 5-3) and the cargo aircraft only label (Figure 5-28).
+ — the package must be of such size that there is adequate space to affix the mark on one side without the mark being folded.
≠ — the cargo aircraft only label must be located on the same surface of the package near the lithium battery mark, if the package dimensions are adequate.
+ *Note.— The provisions for a lithium battery handling label as contained in the 2015-2016 Edition of these Instructions (Part 5;3.5.2 and Figure 5-32 of the 2015-2016 Edition) may continue to be used in lieu of the lithium battery mark until 31 December 2018*.
>
+ — A shipper is not permitted to offer for transport more than one package prepared according to this section in any single consignment.
— The words “lithium metal batteries, in compliance with Section II of PI968 — cargo aircraft only" or "lithium metal batteries, in compliance with Section II of PI968 — CAO" must be placed on the air waybill, when an air waybill is used.
≠ — Packages and overpacks of lithium metal batteries prepared in accordance with the provisions of Section II must be offered to the operator separately from cargo which is not subject to these Instructions and must not be loaded into a unit load device before being offered to the operator.
— Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

II.3 **Outer packagings**

≠

Boxes	*Drums*	*Jerricans*
Aluminium	Aluminium	Aluminium
Fibreboard	Fibre	Plastics
Natural wood	Other metal	Steel
Other metal	Plastics	
Plastics	Plywood	
Plywood	Steel	
Reconstituted wood		
Steel		

II.4 **Overpacks**

≠ Not more than one package prepared in accordance with this section may be placed into an overpack.

≠ When the package is placed in an overpack, the lithium battery mark (Figure 5-3) and the cargo aircraft only label (Figure 5-28) required by this packing instruction must either be clearly visible or the mark and label must be affixed on the outside of the overpack and the overpack must be marked with the word “Overpack”.

+ *Note.— For the purpose of Section II, an overpack is an enclosure used by a single shipper that contains no more than one package prepared in accordance with this section. For shipments prepared in accordance with Section IA and/or IB, this limit of one package of Section II batteries per overpack still applies.*

Packing Instruction 969

Passenger and cargo aircraft for UN 3091 (packed with equipment) only

1. **Introduction**

This entry applies to lithium metal or lithium alloy batteries packed with equipment.

Section I of this packing instruction applies to lithium metal and lithium alloy cells and batteries that are assigned to Class 9. Certain lithium metal and lithium alloy cells and batteries offered for transport and meeting the requirements of Section II of this packing instruction, subject to paragraph 2 below, are not subject to other additional requirements of these Instructions.

+ A single cell battery as defined in Part III, sub-section 38.3.2.3 of the UN *Manual of Tests and Criteria* is considered a “cell” and must be transported according to the requirements for “cells” for the purpose of this packing instruction.

+ For the purpose of this packing instruction, “equipment” means apparatus for which the lithium cells or batteries will provide electrical power for its operation.

2. **Lithium batteries forbidden from transport**

The following applies to all lithium metal cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

I. **SECTION I**

Each cell or battery must meet all the provisions of 2;9.3.

I.1 **General requirements**

Part 4;1 requirements must be met.

UN number and proper shipping name		*Package quantity (Section I)*	
		Passenger	*Cargo*
UN 3091	**Lithium metal batteries packed with equipment**	5 kg of lithium metal cells or batteries	35 kg of lithium metal cells or batteries

Packing Instruction 969

I.2 **Additional requirements**

— Lithium metal cells and batteries must be protected against short circuits.
— Lithium metal cells or batteries must:
  — be placed in inner packagings that completely enclose the cell or battery, then placed in an outer packaging. The completed package for the cells or batteries must meet the Packing Group II performance requirements; or
  — be placed in inner packagings that completely enclose the cell or battery, then placed with equipment in a packaging that meets the Packing Group II performance requirements.
— The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
— The number of cells or batteries in each package must not exceed the appropriate number for the equipment's operation, plus two spares.

> — For lithium metal cells and batteries prepared for transport on passenger aircraft as Class 9:
  — cells and batteries offered for transport on passenger aircraft must be packed in intermediate or outer rigid metal packaging surrounded by cushioning material that is non-combustible and non-conductive and placed inside an outer packaging.

I.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
Aluminium (4B)	Aluminium (1B2)	Aluminium (3B2)
Fibreboard (4G)	Fibre (1G)	Plastics (3H2)
Natural wood (4C1, 4C2)	Other metal (1N2)	Steel (3A2)
Other metal (4N)	Plastics (1H2)	
Plastics (4H1, 4H2)	Plywood (1D)	
Plywood (4D)	Steel (1A2)	
Reconstituted wood (4F)		
Steel (4A)		

II. **SECTION II**

≠ Lithium metal or lithium alloy cells and batteries packed with equipment, when complying with Section II of this packing instruction, are only subject to the following additional provisions of these Instructions:

— Part 1;2.3 (General — Transport of dangerous goods by post);
— Part 7;4.4 (Operator's responsibilities — Reporting of dangerous goods accidents and incidents);
— Part 8;1.1 (Provisions concerning passengers and crew — Dangerous goods carried by passengers or crew); and
— Paragraphs 1 and 2 of this packing instruction.

Lithium metal cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and the following:

1) for a lithium metal cell, the lithium content is not more than 1 g;
2) for a lithium metal or lithium alloy battery, the aggregate lithium content is not more than 2 g.

II.1 **General requirements**

Cells and batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

Contents	*Package quantity (Section II)*	
	Passenger	*Cargo*
Net quantity of lithium metal cells or batteries per package	5 kg	5 kg

Packing Instruction 969

II.2 **Additional requirements**

— Lithium metal cells or batteries must:
≠ — be placed in inner packagings that completely enclose the cell or battery, then placed in a strong rigid outer packaging; or
≠ — be placed in inner packagings that completely enclose the cell or battery, then placed with the equipment in a strong rigid outer packaging.
— Cells and batteries must be protected so as to prevent short circuits. This includes protection against contact with conductive materials within the same packaging that could lead to a short circuit.
— The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
— The number of cells or batteries in each package must not exceed the appropriate number for the equipment's operation, plus two spares.
— Each package of cells or batteries, or the completed package, must be capable of withstanding a 1.2 m drop test in any orientation without:
— damage to cells or batteries contained therein;
— shifting of the contents so as to allow battery to battery (or cell to cell) contact;
— release of contents.
≠ — Each package must be marked with the appropriate lithium battery mark (Figure 5-3).
+ — the package must be of such size that there is adequate space to affix the mark on one side without the mark being folded.
+ *Note.— The provisions for a lithium battery handling label as contained in the 2015-2016 Edition of these Instructions (Part 5;3.5.2 and Figure 5-32 of the 2015-2016 Edition) may continue to be used in lieu of the lithium battery mark until 31 December 2018.*
>
— The words "lithium metal batteries, in compliance with Section II of PI969" must be placed on the air waybill, when an air waybill is used.
+ — Where a package contains a combination of lithium batteries contained in equipment and lithium batteries packed with equipment that meet the limits for lithium cells or batteries of Section II, the following additional requirements apply:
— the shipper must ensure that all applicable parts of both packing instructions are met. The total mass of lithium batteries contained in any package must not exceed 5 kg;
— the words "lithium metal batteries, in compliance with Section II of PI969" must be placed on the air waybill, when an air waybill is used.
— Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

II.3 **Outer packagings**

≠

Boxes	*Drums*	*Jerricans*
Aluminium	Aluminium	Aluminium
Fibreboard	Fibre	Plastics
Natural wood	Other metal	Steel
Other metal	Plastics	
Plastics	Plywood	
Plywood	Steel	
Reconstituted wood		
Steel		

II.4 **Overpacks**

≠ When packages are placed in an overpack, the lithium battery mark (Figure 5-3) required by this packing instruction must either be clearly visible or the mark must be affixed on the outside of the overpack and the overpack must be marked with the word "Overpack".

Packing Instruction 970

Passenger and cargo aircraft for UN 3091 (contained in equipment) only

1. **Introduction**

This entry applies to lithium metal or lithium alloy batteries contained in equipment.

Section I of this packing instruction applies to lithium metal and lithium alloy cells and batteries that are assigned to Class 9. Certain lithium metal and lithium alloy cells and batteries offered for transport and meeting the requirements of Section II of this packing instruction, subject to paragraph 2 below, are not subject to other additional requirements of these Instructions.

+ A single cell battery as defined in Part III, sub-section 38.3.2.3 of the UN *Manual of Tests and Criteria* is considered a “cell” and must be transported according to the requirements for “cells” for the purpose of this packing instruction.

+ For the purpose of this packing instruction, “equipment” means apparatus for which the lithium cells or batteries will provide electrical power for its operation.

2. **Lithium batteries forbidden from transport**

The following applies to all lithium metal cells and batteries in this packing instruction:

Cells and batteries, identified by the manufacturer as being defective for safety reasons, or that have been damaged, that have the potential of producing a dangerous evolution of heat, fire or short circuit are forbidden for transport (e.g. those being returned to the manufacturer for safety reasons).

I. **SECTION I**

Each cell or battery must meet all the provisions of 2;9.3.

I.1 **General requirements**

≠ Equipment must be packed in strong rigid outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

UN number and proper shipping name	*Package quantity (Section I)*	
	Passenger	*Cargo*
UN 3091 **Lithium metal batteries contained in equipment**	5 kg of lithium metal cells or batteries	35 kg of lithium metal cells or batteries

I.2 **Additional requirements**

— The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
— The equipment must be packed in strong outer packagings constructed of suitable material of adequate strength and design in relation to the packaging’s capacity and its intended use unless the battery is afforded equivalent protection by the equipment in which it is contained.
— The quantity of lithium metal contained in any piece of equipment must not exceed 12 g per cell and 500 g per battery.

Packing Instruction 970

I.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
Aluminium	Aluminium	Aluminium
Fibreboard	Fibre	Plastics
Natural wood	Other metal	Steel
Other metal	Plastics	
Plastics	Plywood	
Plywood	Steel	
Reconstituted wood		
Steel		

II. **SECTION II**

≠ Lithium metal or lithium alloy cells and batteries contained with equipment, when complying with Section II of this packing instruction, are only subject to the following additional provisions of these Instructions:

— Part 1;2.3 (General — Transport of dangerous goods by post);
— Part 7;4.4 (Operator's responsibilities — Reporting of dangerous goods accidents and incidents);
— Part 8;1.1 (Provisions concerning passengers and crew — Dangerous goods carried by passengers or crew); and
— Paragraphs 1 and 2 of this packing instruction.

Lithium metal cells and batteries may be offered for transport provided that each cell and battery meets the provisions of 2;9.3.1 a) and e) and the following:

1) for a lithium metal cell, the lithium content is not more than 1 g;
2) for a lithium metal or lithium alloy battery, the aggregate lithium content is not more than 2 g.

Devices such as radio frequency identification (RFID) tags, watches and temperature loggers, which are not capable of generating a dangerous evolution of heat, may be transported when intentionally active. When active, these devices must meet defined standards for electromagnetic radiation to ensure that the operation of the device does not interfere with aircraft systems. The devices must not be capable of emitting disturbing signals (such as buzzing alarms, strobe lights, etc.) during transport.

II.1 **General requirements**

Equipment containing batteries must be packed in strong outer packagings that conform to Part 4;1.1.1, 1.1.3.1 and 1.1.10 (except 1.1.10.1).

Contents	*Package quantity (Section II)*	
	Passenger	*Cargo*
Net quantity of lithium metal cells or batteries per package	5 kg	5 kg

Packing Instruction 970

II.2 **Additional requirements**

— The equipment must be secured against movement within the outer packaging and must be equipped with an effective means of preventing accidental activation.
— Cells and batteries must be protected so as to prevent short circuits.
≠ — The equipment must be packed in strong rigid outer packagings constructed of suitable material of adequate strength and design in relation to the packaging's capacity and its intended use unless the battery is afforded equivalent protection by the equipment in which it is contained.
+ — Each package must be marked with the appropriate lithium battery mark (Figure 5-3). The package must be of such size that there is adequate space to affix the mark on one side without the mark being folded.
— This requirement does not apply to:
— packages containing only button cell batteries installed in equipment (including circuit boards); and
— packages containing no more than four cells or two batteries installed in equipment, where there are not more than two packages in the consignment.
+ *Note.— The provisions for a lithium battery handling label as contained in the 2015-2016 Edition of these Instructions (Part 5;3.5.2 and Figure 5-32 of the 2015-2016 Edition) may continue to be used in lieu of the lithium battery mark until 31 December 2018.*
>
≠ — Where a consignment includes packages bearing the lithium battery mark, the words "lithium metal batteries, in compliance with Section II of PI970" must be placed on the air waybill, when an air waybill is used.
— Any person preparing or offering cells or batteries for transport must receive adequate instruction on these requirements commensurate with their responsibilities.

II.3 **Outer packagings**

Boxes	*Drums*	*Jerricans*
Aluminium	Aluminium	Aluminium
Fibreboard	Fibre	Plastics
Natural wood	Other metal	Steel
Other metal	Plastics	
Plastics	Plywood	
Plywood	Steel	
Reconstituted wood		
Steel		

≠

II.4 **Overpacks**

≠ When packages are placed in an overpack, the lithium battery mark (Figure 5-3) required by this packing instruction must either be clearly visible or the mark must be affixed on the outside of the overpack and the overpack must be marked with the word "Overpack".